

# No Transaction Fee (NTF) Mutual Fund Program



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The following list represents the fund families participating in the no transaction fee “NTF” program, which allows you to buy, sell and exchange thousands of load and no-load mutual funds without incurring transaction charges.<sup>1</sup>

Alger	Conestoga	Hartford Funds (A, C and I shares only)	Polen Capital Management
Alliance Bernstein (A, B and C shares only)	CRA Qualified Investment Fund (Inst share class only)	ICON Funds	Principal Funds (A, C, Instl Shares)
Allspring (Excludes Index funds)	Cromwell	Impax Funds	Putnam
Alpine Capital Research	Cullen Funds	Intrepid Capital Funds	RBC GAM (A and I shares only)
Alps (A and C shares only)	Deer Park	Invesco (Excludes Investor shares)	Reaves Asset Management
Altegris	Delaware	JPMorgan Funds <sup>3</sup> (A, B and C shares only)	REMS Funds
Amana Funds	Destra Funds	Keeley Funds	Rice Hall James
American Beacon (A, C and Investor shares only)	Dimensional Fund Advisors	Kensington	RMB Funds
American Funds (Excludes R class)	Direxion Funds (Investor share class only)	Lazard Funds	Russell Funds (Excludes Y shares)
AMG (Excludes Inst and Z shares)	DoubleLine (I and N shares only)	LoCorr Funds	SSGA Funds <sup>4</sup>
Amundi (Pioneer)	Dreyfus	Lord Abbett (Excludes P and F3 Shares)	T.Rowe Price
AQR	Dunham & Associates Funds	Madison Funds (Y shares only)	TCW Galileo Funds
Arbitrage (R shares only)	DWS Funds	Mainstay	Third Avenue (Investor shares only)
Astor	Eagle MLP	Manning and Napier (S and I shares only)	Thornburg
Auer	Emerald Funds	Matthews Asia Funds (Excludes Inst shares)	TIAA CREF
Baron	Federated Funds <sup>2</sup>	Natixis (A shares only)	Tocqueville
BlackRock	First Eagle Funds	Neuberger Berman (A and C shares only)	Touchstone (Excludes I, S, and R6 shares)
Brandes Funds	First Trust (ETFs only)	North Square	Van Eck (A shares only)
Brookfield	Franklin Templeton	Nuveen (A shares only)	Virtus Funds (A, B, and C shares only)
Buffalo	GAMCO (Includes all GAMCO, Gabelli and Comstock)	Payden and Rygel Funds	Voya Funds
Calamos	Gavekal Funds	PGIM <sup>4</sup> (A, C and Z shares only)	Wasatch
Cambiar	Global X (ETFs)	PIMCO (A, B and C shares only)	Weitz
Carillon (A, C and I shares only)	Goldman Sachs (Excludes NL and enhanced Income funds)	PMC Funds	Wisdom Tree (ETFs)
Causeway Funds	Guggenheim (Excludes Instl shares)		
Cion Funds	Guinness Atkinson		
Cohen and Steers	Harding Loevner Funds		

1. Other charges that the fund family or your firm may assess are applicable.
2. Excludes ultra-short duration funds. Other exclusions may also apply.
3. Excludes Money Markets, Index Funds and Short Term Bond Funds.
4. Other exclusions may also apply.

**Important Notes on the NTF Program:** Certain mutual fund companies may require your firm to establish a selling agreement with the fund company in order to transact business with the fund company. Money market funds are not eligible for the NTF Program.

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