

# No Transaction Fee (NTF) Mutual Fund Program

The following list represents the Premier Fund Families participating in the No Transaction Fee “NTF” Program, which allows you to buy, sell and exchange thousands of load and no-load mutual funds without incurring transaction charges.<sup>1</sup>

Alger	Cullen Funds	ICON	Polen Funds
AllianceBernstein (A, B and C Shares Only)	Delaware	Intrepid Capital Funds	Princeton
Allianz (A, B and C Shares Only)	Destra	Invesco (Excludes Investor Share Class)	Principal Funds
Alpine Funds	Deutsche (Excludes Institutional Class Funds)	IVA Funds	Prudential <sup>4</sup>
Alps (A and C Shares Only)	Dimensional Fund Advisors <sup>6</sup>	Ivy	Putnam
Altegris	Direxion (Investor Share Class Only)	JPMorgan <sup>3</sup>	RBC Global Asset Management (A and I Shares Only)
Amana Funds	DoubleLine (I and N Shares Only)	Keeley Funds	Reaves Asset Management
American Beacon (A, C and Investor Shares Only)	Dreyfus (Excludes No Load Funds)	Lazard	REMS Funds
American Funds (Excludes R Class)	Dunham	LoCorr	Rice Hall James
AMG (Excludes Institutional Shares)	Eagle (A, C and I Shares Only)	Loomis (A Shares Only)	RMB Funds
AQR	Emerald	Lord Abbett (A Shares Only)	Russell Funds
Arbitrage (R Shares Only)	Federated <sup>2</sup>	Madison (Y Shares Only)	Satuit
ASG (A Shares Only)	First Eagle	Mainstay	SSGA <sup>5</sup>
Astor (I and R Shares Only)	Franklin Templeton	Manning and Napier (A, C and S Shares Only)	Stadion Funds
Auer	GAMCO (Includes all GAMCO, Gabelli and Comstock)	Marketfield	SunAmerica (AIG)
Baron	GaveKal	Matthews Asian Funds (Excludes Institutional Shares)	T. Rowe Price (Advisor Class Only)
BlackRock	Goldman Sachs (Excludes No Load and Enhanced Income Fund)	MutualHedge Frontier Legends	TCW
Brandes Funds	Guggenheim	Natixis (A Shares Only)	Third Avenue (Investor Share Class Only)
Brookfield	Guinness Atkinson	Neuberger Berman (A and C Shares Only)	Thornburg
Buffalo	Hansberger (A and Advisor Shares Only)	Nuveen (A Shares Only)	Tocqueville
Calamos	Hartford Funds (A, C and I Shares Only)	Oak Ridge	Touchstone
Cambiar (Excludes Institutional Shares)	Hatteras Fund	Pax World	Van Eck (A Shares Only)
Causeway Funds		Payden	Voya
Cohen and Steers		PIMCO (A, B and C Shares Only)	Wasatch
Conestoga		Pioneer	Weitz
CRA Qualified Investment Fund (Institutional Share Class Only)		PMC Funds	Wells Fargo (Excludes Index Funds)

1. Other charges that the fund family or your firm may assess are applicable.
2. Excludes Y and K share classes and ultra short duration funds. Other exclusions may also apply.
3. A, B, C shares of Trusts, excludes no load and C shares of OGUCX, OSTCX, STMCX, OEICX, OMECX.
4. Excludes Dryden Stock Index Fund, Dryden US Equity Active Extension Fund, Jennison Utility Fund, Target Conservative Allocation Fund, Target Growth Allocation Fund and Target Moderate Allocation Fund.
5. Includes only the following funds: High Yield Bond, Intermediate, Directional Core Equity, Enhanced Small Cap, IAM Shares, Tuckerman Active REIT, Core Edge Equity, Emerging Markets, International Stock Selection.
6. Requires pre-approval from the fund family prior to purchasing. Contact DFA at [nateam@dimensional.com](mailto:nateam@dimensional.com).

**Important Notes on the NTF Program:** Certain mutual fund companies may require your firm to establish a selling agreement with the fund company in order to transact business with the fund company. Money market funds are not eligible for the NTF Program.